

Compliance & Investigations

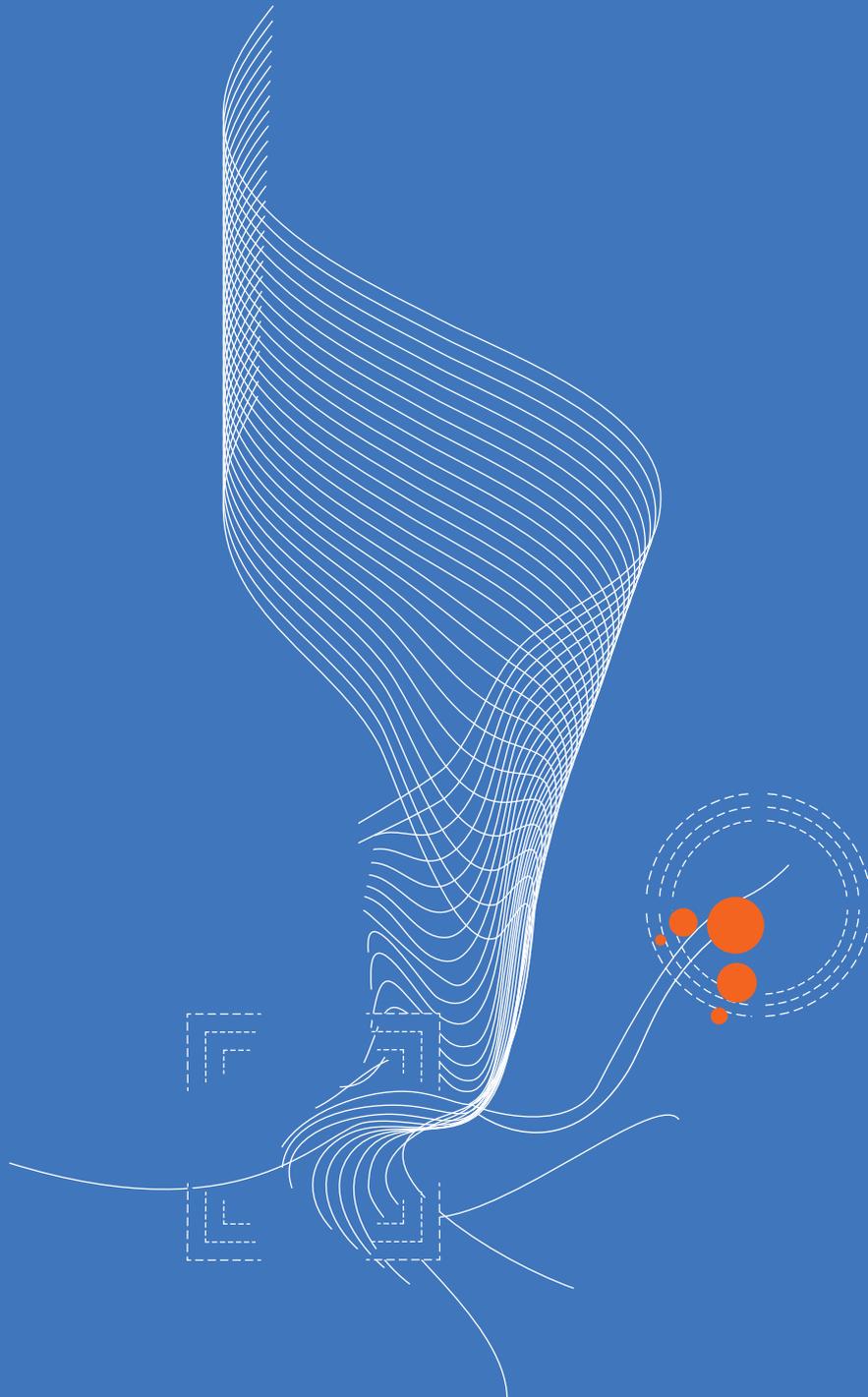




Preventive or reactive – we keep your scope for action as broad as possible in an increasingly intricate regulatory environment.

Sophia Habbe





Legislators and authorities across the globe are tightening regulatory requirements for companies and punishing breaches with increasingly heavy penalties. Fines and confiscation of assets present a considerable risk in terms of the costs and damage to a company's reputation. At the same time, companies are at risk of losing government support or being subjected to compliance monitoring.

Effective compliance management systems have long since become the most sustainable response to these challenges in practice. Adapted to reflect legal developments, changes in supervisory and enforcement practices, modern technologies and digitalised business models, they help you to identify risks at an early stage and to avoid liability risks as effectively as possible.

If, despite this, compliance breaches are suspected in your company, internal investigations are a key instrument for carrying out a structured and thorough investigation of the facts. By actively managing internal investigations, you retain the power to act even in critical situations, to react appropriately and to minimise the risk of penalties and reputational damage – not least in the interests of your stakeholders.



/YOUR CHALLENGES

Acting as a proactive and forward-looking partner, we guide you through all the stages of compliance. We take a preventative approach when it comes to helping you design and implement an effective compliance management system tailored to the specific needs of your company. If you already have a compliance management system in place, we will review it and adapt it to the current legal framework where necessary..

If you are faced by a suspected breach, we can provide you with discreet and effective support when planning and conducting internal investigations. Based on our long-standing experience in dealing with complex national and international scenarios, we confer with you to devise the best possible courses of action to combat compliance breaches and their repercussions. Where necessary, we also help you deal with prosecution and supervisory authorities in Germany and abroad.

Our range of services includes:

Compliance management systems

- Designing and developing compliance management systems; drafting guidelines and work instructions
- Implementing compliance management systems across company groups and adapting them to reflect the local situation
- Improving existing compliance management systems and adapting them to keep pace with new legal requirements and technical and digital progress
- Preparing and carrying out seminars and other training
- Conducting compliance audits

Internal investigations

- Preparing, coordinating and conducting internal investigations in Germany and abroad
- Investigating complex cases involving suspected breaches by structured and efficient fact-finding
- Preparing and conducting employee interviews
- Securing evidence usable in court
- Communicating with committees and stakeholders
- Representing clients in dealings with prosecution and supervisory authorities in Germany and abroad



/OUR SOLUTIONS

Reacting to compliance breaches

- Advising companies, governing bodies and their members on responding to suspected or actual compliance breaches
- Securing and enforcing claims (for damages) against members of governing bodies, employees and third parties
- Advising on project and crisis management
- Providing support with public relations work and reputation management
- Evaluating and improving compliance management systems

Defending clients in white-collar crime and tax-related criminal proceedings

- Defending clients in tax-related criminal investigations and fine proceedings inside and outside Germany
- Defending clients in penalty proceedings affecting companies and associations
- Representing clients in confiscation proceedings and other measures to recover assets
- Advising and representing clients in voluntary declaration, crown witness and other leniency proceedings
- Representing clients before investigation committees
- Coordinating criminal proceedings against companies and individuals
- Managing investigations and parallel administrative, tax and civil proceedings
- Advising and representing clients before administrative, supervisory and regulatory authorities

Product liability and product safety, technical compliance and product recalls (product/technical compliance)

- Providing broad-based product regulatory advice and performing marketability checks involving the technological and legal aspects
- Defending clients against product liability claims: coordinating defence strategies at an international level and carrying out extensive process management
- Handling the strategic planning and providing legal assistance in worldwide product recalls, including communications management
- Representing clients throughout Europe in relation to objections to products by authorities due to alleged breaches of technical/safety requirements
- Representing clients in product-related disputes in and out of court (e.g. disputes in the supply chain and claims regarding recall costs)
- Providing wide-ranging support in connection with identifying and complying with technical legal requirements

Our compliance advice offers you a broad range of integrated solutions based on our legal expertise and in-depth knowledge of industry sectors and markets.

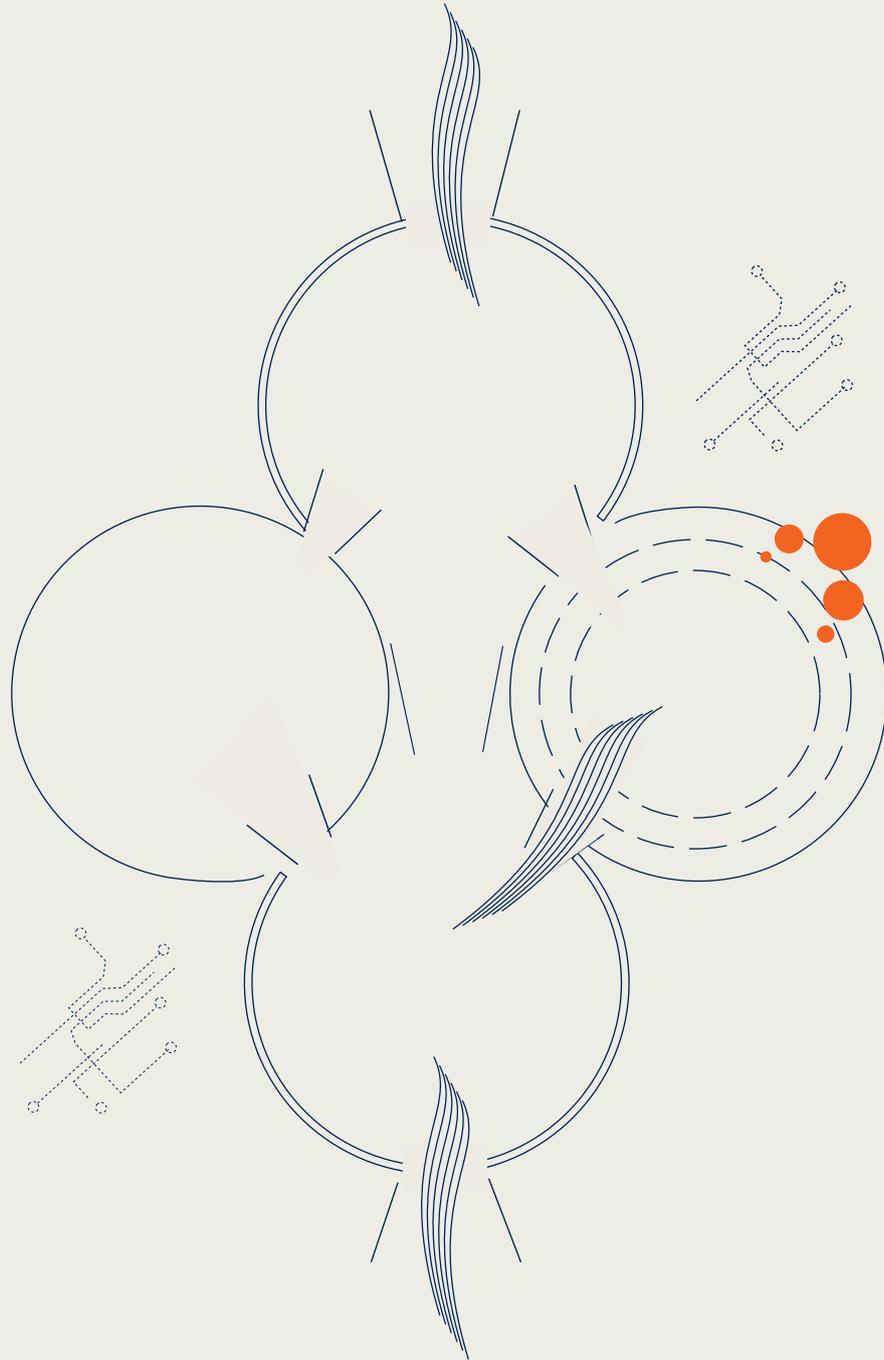
We put together streamlined teams designed to match the individual features of your project, selecting people who have the skills required for your project from a pool of advisors specialising in compliance. These skills include specialist knowledge in corporate, employment and criminal law or in the fields of foreign trade law, technical compliance and product liability.

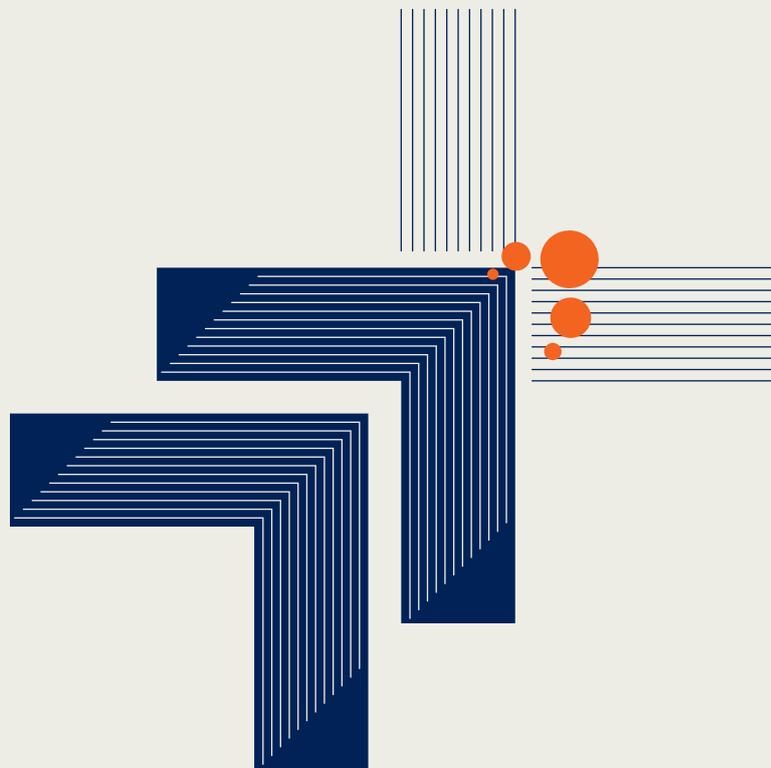
The practicability and economic benefits of a strategy are our top priorities. By pointing out the specific options open to you and their legal implications, we enable you to make well-founded and balanced decisions. We help you to identify and take advantage of the entrepreneurial scope within which you can make your decisions.

Effective project organisation, efficient working practices and reliability are a matter of course for us.



/YOUR BENEFIT





Noerr stands for excellence and an entrepreneurial approach. With highly experienced teams of strong characters, Noerr devises and implements solutions for the most complex and sophisticated legal challenges. United by a set of shared values, the firm's 500+ professionals are driven by one goal: our client's success. Listed groups and multinational companies, large and medium-sized family businesses as well as financial institutions and international investors all call on the firm.

Entrepreneurial thinking

Noerr's advisors make their clients' challenges their own and are always thinking one step ahead. In doing so, they assume responsibility and are at liberty to make their own decisions. The firm is committed to always going the extra mile for its clients and to resolving complex matters with the perfect mix of experience, excellence and sound judgement.

Innovative solutions

In complex and dynamic markets new approaches are regularly required – and delivered by experts who bring both the know-how and the necessary passion. This is precisely what Noerr excels at: implementing integrated and innovative solutions in the most efficient way.

Global reach

As one of the leading European law firms, Noerr is also internationally renowned. With offices in eleven countries and a global network of top-ranked "best friends" law firms, Noerr is able to offer its clients truly cross-border advice.

In addition, Noerr is the exclusive member firm in Germany for Lex Mundi, the world's leading network of independent law firms with in-depth experience in 100+ countries worldwide.

Capacity in Central and Eastern Europe

Noerr has long had its own offices in all major Central and Eastern European capitals. The firm regularly advises on greenfield investments, joint ventures, acquisitions and divestments in Central and Eastern Europe by investors from all over the world. With more than 100 professionals, Noerr is one of the leading law firms in the region.

Noerr Group

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**Noerr's lawyers
always start by
looking at how
things interact at
an economic level.
That's where
all compliance
risks start.**

Torsten Fett



Law Firm of the Year



Juve Awards
2021

Law Firm of the Year
for Banking & Finance
and Corporate



Juve Awards
2021

Germany M&A Legal
Adviser of the Year



Mergermarket Europe M&A Awards
2020

Law Firm of the Year
Germany



Chambers Europe Awards
2020

Law Firm of the Year
for Insolvency and
Restructuring



Juve Awards
2020

European Law Firm
of the Year und Law Firm
of the Year: Germany



The Lawyer European Awards
2019

/LEGAL AWARDS

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